# CENTRE OF SOCIAL MEDICINE AND COMMUNITY HEALTH SCHOOL OF SOCIAL SCIENCE JAWAHARLAL NEHRU UNIVERSITY

## Monsoon Semester 2022

Course No.	: SM 621
Course Title	: Operational Research and Systems Analysis in
	Community Health Research
Credits : 2	
Method of Instruction	: Lectures, Group Discussions and Seminars
Method of Evaluation	: 1.5 credit Written Assignment + 0.5 credit Viva-voce
Course Incharges	: Prof. Ritu Priya and Dr. Prachin Ghodajkar

# **Course Outline**

The course aims at strengthening the students' understanding of Health Systems Research .It traces the evolution of Operational Research and Systems Analysis and their use in public health as tools for planning, service strengthening and evaluation. It then focusses on the development of the field of Health Systems Research in the last two decades and the varied perspectives within it. The course consolidates the theoretical and methodological learnings across courses over the previous two semesters and provides an approach to operationalise them in practice. Positivist, realist and holistic theoretical approaches are examined for their strengths and limitations for developing a contextually rooted and comprehensive health system.

### Introduction

- Systems Analysis and Operational Research: An Introduction
- Theoretical Approaches: Positivist, Realist, Holist

### **Conceptualising Health Systems**

- Health Systems as a Complexity
- Ecosystems and Social Systems
- Meaning Systems and Political Economy
- Health Care Systems and Health Service Systems

### Application of Systems Analysis and Operational Research to Health Services

- Systems Analysis and Operational Research as Applied to Health Systems
- Mathematical modelling for Medical Service Systems
- Health Systems Research
- Health Policy and Systems Research
- Complexity in HSR
- Holistic Health Systems Approach— Epistemological Pluralism and Trans-Disciplinarity

### Examples of Health Systems Research and Its Application for Planning and Evaluation

- Application of HSR to National Health Programmes
- Evaluation of Complex Systems: the NRHM and NHM; Ayushman Bharat
- Issues of Quality in Health Service Systems

### Politics of Health Systems Research, Policy and Planning

- The Politics of Health Systems Research and Health Services Research

- The Politics of Health Data Sets
- Applying the Systems Approach to Health Policy, Planning and Implementation

## **Reading List**

## Introduction

- 1. Ackoff, R.L. (1971): "Towards a System of Systems Concepts", *Management Science* Vol. 17, No. 3 pp.661-671.
- 2. Ramo Simon (1970): "The System Approach" in Century of Mismatch, Mackay Company.
- 3. Miser, Hugh J. April 1980. Operations Research and Systems Analysis. Professional Papers, International Institute for Applied Systems analysis, Luxemburg, Austria
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## **Conceptualising Health Systems**

- WHO (2007): World Health Report 2007-Everybody's business: Health Systems Strengthening...., WHO, Geneva.
- 2. Beijing 2012: Statement of Symposium on Health Policy and Systems Research.
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- 6. Charron, Dominique F. (2012): Ecohealth: Origins and Approach, ch. 1 in Charron D.F. (ed) *Ecohealth Research in Practice: Innovative Applications of an Ecosystem Approach to Health.* IDRC & Springer.
- 7. Raphael Dennis (2006). Social Determinant of Health; Present Status, Unanswered Questions, and Future Directions. *International Journal of Health Services*, Volume 36, Number 4, Pages 651–677.
- 8. Baer, Hans A., Singer Merrill & Ida Susser (2003): "Medical Anthropology and the World System," 2<sup>nd</sup> ed. Praeger Publisher, Westport, Connecticut London, pp: 3-15, 31-54.
- 9. Sathyamala, C. et al (1986): "Taking Sides: the choices before the health worker", ANITRA, Asian Network for Innovative Training Trust, Madras, pp: 114-120.
- 10. Priya, R. and Kurian C.M. (2018). Regulating Access and Protecting Traditional Health Knowledge through Intellectual Property Rights? Issues from a Holistic Health Systems Per spective. *Science, Technology & Society* 23:3 (2018): 1–26.

### Application of Systems Analysis and Operations Research Methodological Approaches:

1. World Health Organisation (1976): "Application of Systems Analysis to Health Management", Geneva, WHO.

- 2. Gill Walt, Jeremy Shiffman, Helen Schneider, Susan F Murray, Ruairi Brugha and Lucy Gilson (2008).'Doing' health policy analysis: methodological and conceptual reflections and challenges. Health Policy and Planning 2008;23:308–317.
- 3. Sheikh K, Gilson L, Agyepong IA, Hanson K, Ssengooba F, et al. (2011) Building the Field of Health Policy and Systems Research: Framing the Questions. PLoS Med 8(8): e1001073. doi:10.1371/jour- nal.pmed.1001073
- 4. Gilson L, Hanson K, Sheikh K, Agyepong IA, Ssengooba F, et al. (2011) Building the Field of Health Policy and Systems Research: Social Science Matters. PLoS Med 8(8): e1001079. doi:10.1371/jour- nal.pmed.1001079
- 5. Bennett S, Agyepong IA, Sheikh K, Hanson K, Ssengooba F, et al. (2011) Building the Field of Health Policy and Systems Research: An Agenda for Action. PLoS Med 8(8): e1001081. doi:10.1371/journal.pmed.1001081
- 6. Gilson L. Editor (2012). Health Policy and Systems Research: A Methodology Reader. AHPSR and WHO, Geneva.
- 7. Priya R, Gaitonde R., Gandhi M.P, Sarkar, A., Das S., Ghodajkar P. (2019). The Alm-Ata Declaration and Elements for a PHC 2.0. Medico Friend Circle Bulletin, No. 380, 1-8. http://www.mfcindia.org/mfcpdfs/MFC380.pdf
- 8. Gaitonde R., Priya R., Sarkar, A., Das S., Ghodajkar P., Gandhi M.P. (2019). Some Thoughts on Health for All: the Rationale for engaging with the Politics of Knowledge. Medico Friend Circle Bulletin, No. 380, 9-15.
- 9. Ghodajkar P., Das S., Sarkar, A., Gandhi M.P., Gaitonde R., Priya R. (2019). Towards Reframing the Operational Design for HFA 2.0: Factoring in Politics of Knowledge in Health Systems. Medico Friend Circle Bulletin, No. 380, 16-25.

# Examples of Health Systems Research

- 1. Population Council (1986): "Operations Research! Lessons for Policy and Programmes", Population Reports, series J., No.31, May-June.
- 2. Banerji, D. (1993): "A Social Science Approach to Strengthening India's National Tuberculosis Programme", India J. Tub., Vol.40, pp. 61-82.
- 3. Qadeer I. (1985): "Social Dynamics of Health Care: The Community Health Volunteer Scheme in Shadol District," Socialist Health Review, Vol.2, No.2, pp: 74-83.
- 4. Dutta, P.K. (1980): A Study of Factors Responsible for the Setback of National Malaria Eradication Programme (NMEP) at the Unit Level in Gurgaon District, New Delhi, CSMCH/SSS, Ph. D. Thesis.
- 5. Rao, K.V. (1982): Study of Leprosy Control Programme in Rural Population in Chingleput District, New Delhi, CSMCH/SSS, Ph. D. Thesis.
- 6. Mishra, B.D. et al (1982): Organisation for Change: A Systems Analysis of Family Planning in Rural India, New Delhi, Radiant.
- 7. Banerji, D. (1992): "Combating AIDS as a Public Health Programme in India", New Delhi, VHAI and Nucleus for Health Policies and Programmes.
- 8. Dutta, Amlan (1996): Some Aspects of the Public Health Services in Purulia District: A Systems Analysis, New Delhi, CSM&CH, SSS, Ph. D. Thesis.
- **9.** Bajpai, Nirupam, Sach, Jeffrey D. & Dholakia Ravindra H. (2010): "Improving Access and Efficiency in Public Health Services: Mid-term Evaluation of India's National Rural Health Mission", The Earth Institute Columbia University, NY and Sage Publication, New Delhi.
- 10. Gulati, 2011. Evaluation Study of National Rural Health Mission (NRHM) In 7 States. Planning Commission, GOI, New Delhi.
- 11. IIPS and MOHFW, 2010. Concurrent Evaluation of the National Rural Health Mission. MOHFW, GoI
- 12. Joint Monitoring Mission (2014). Draft Report, National Vector Borne Disease Control Program, 1-10 March 2014. Govt. of India.
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- 14. Priya R. 2012. 'AYUSH and Public Health: Democratic Pluralism and the Quality of Health Services', in Sujatha V. & Abraham L. (Ed. ) *Medical Pluralism in Contemporary India*, Orient Blackswan, p. 103-129.

- 15. Marshall, F., J. Dolley, and R. Priya. 2018. Transdisciplinary research as transformative space making for sustainability: enhancing pro-poor transformative agency in peri-urban contexts. *Ecology and Society* 23(3):8. <u>https://doi.org/10.5751/ES-10249-230308</u>.
- 16. <u>Abhijit Banerjee, Angus Deaton, Esther Duflo</u> (2004). <u>Health Care Delivery in Rural Rajasthan</u> EPW, <u>Vol. 39</u>, Issue No. 09, 28 Feb, 2004.
- Abhijit Vinayak Banerjee, Esther Duflo, Rachel Glennerster, Dhruva Kothari, Improving Immunization Coverage in Rural India: A Clustered Randomized Controlled Evaluation of Immunization Campaigns with and without Incentives. BMJ (online) 340 (May17, 2010):c2220 DOI: 10.1136/bmj.c2220
- Scott et al. Negotiating power relations, gender equality, and collective agency: are village health committees transformative social spaces in northern India?International Journal for Equity in Health (2017) 16:84 DOI 10.1186/s12939-017-0580-4
- 19. Priya R. and Ghodajkar P. The Structural Basis of Corruption in Healthcare in India, in *Healers or Predators? Healthcare Corruption in India* Editors: Nundy S., Desiraju K., Nagral, S., Oxford University Press, 2018, New Delhi. Pp. 3-43.

# Politics of Health Systems Research and Planning

- 1. Commission on Health Research for Development (1990): "Health Research: Essential Link to Equity in Development", Delhi, Oxford University Press.
- 2. *Banerji, D. (1990):* "Prescription without Diagnosis: Review of Report of Commission on Health Research Development", Economic and Political Weekly, *December 29, pp. 2823-2825.*
- 3. Banerji, D. (1993): "Simplistic Approach to Health Policy Analysis: World Bank Team on Indian Health Sector", Economic and Political Weekly, June 12, pp. 1207-1210.
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- 5. Planning Commission (2011): "High Level Expert Group Report on Universal Health Coverage for India", Planning Commission, New Delhi.
- 6. GOI (2012): Twelfth Five Year Plan (2012-2017), Social Sector, Vol-III- Chapter on "Health", pp: 1-46, Planning Commission, New Delhi.
- 7. GoI (2017): National Health Policy-2017. Govt. of India. New Delhi.
- 8. Priya R (2005): "Public Health Services in India: A Historical Perspective" in Gangolli, Leena V; Ravi Duggal and Abhay Shukla (eds) Review of Healthcare in India, Cehat, Mumbai pp: 41-74.
- 9. Priya R. 2021. Critical Holism as Public Health Theory: Towards a Unifying Framework for Research, Policy and Planning. *Dialogue: Science, Scientists and Society*, Vol. 4, Special Issue on Public Health. DOI: <u>https://doi.org/10.29195/DSSS.03.01.0033</u>

# **Suggested Readings**

- 1. Blumstain, Alfred et al (ed.) (1970): "The Role of Systems Analysis for Social Problems in Systems Analysis for Social Problems, Operations Research Council, Washington.
- 2. Ackoff, R.L. (1960): "Systems, Organisations and Interdisciplinary Research", General Systems of Year Books, Vol. 5, pp.1-8.
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- 4. Anderson, Stig. (1964): "Operations Research in Public Health", Public Health Reports, Vol. 79, No. 4, April.
- 5. Banerji, D. (1972): "Operational Research in the Field of Community Health", Opsearch, Vol. 9, Nos. 3-4, September-December, pp.135-142.
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- 10. Batu, Aung Than (1992): The Appraisal of Health Systems Research, New Delhi, NIHFW, December 14-18, 1992.
- 11. Gibbs, R.J. (1978): "Use of Strategic Planning Model for Health and Personal Social Services", Journal of Operational Research Society, Vol. 29, No.9, pp.875-883.
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- 13. Monks T. 2016. Operational research as implementation science: definitions, challenges and research priorities. BioMed Central. 11:81 DOI 10.1186/s13012-016-0444-0
- 14. Douglas A. Luke and Katherine A. Stamatakis, 2012. Systems Science Methods in Public Health: Dynamics, Networks, and Agents. Annu. Rev. Public Health 2012. 33:357–76 DOI: 10.1146/annurev-publhealth-031210-101222
- 15. Kazi, Mansoor A. F. (2003): Realist Evaluation in Practice: Health and Social Work, Sage Publication, New Delhi.
- 16. *David Waltner-Toews* An ecosystem approach to health and its applications to tropical and emerging diseases. Cad. Saúde Pública, Rio de Janeiro, 17(Suplemento):7-36, 2001
- 17. Pierce Jessica & Jameton Andrew (2004): "The Challenge of Environmental Responsibility" in The Ethics of Environmentally Responsible Health Care, Oxford University Press, New York.
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- 21. Priya, Ritu & Shweta A. S. (2010): "Status and Role of AYUSH Services and Use of Local Health Traditions under the NRHM: A Health Systems Study across 18 States", National Health Systems Resource Centre (NHSRC), New Delhi.
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- 25. Rayner Geof & Lang Tim (2012): "Ecological Public Health: Reshaping the conditions for good health", Routledge, London, pp: 3-66.
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- **27.** Rowitz, Louis (2009): "A Systems and Complexity Perspective" in Public Health Leadership: Putting Principles into Practice, 2<sup>nd</sup> edition, Jones and Bartlett Publishers, Boston, pp: 65-87.
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